

File 347: JAPIO Oct 1976-2002/Dec (Updated 030402) — FOREIGN (JAPAN)  
(c) 2003 JPO & JAPIO  
File 348: EUROPEAN PATENTS 1978-2003/Mar W05 — FOREIGN (EUROPE)  
(c) 2003 European Patent Office  
File 349: PCT FULLTEXT 1979-2002/UB=20030403, UT=20030327 — PCT  
(c) 2003 WIPO/Univentio  
File 350: Derwent WPIX 1963-2003/UD, UM & UP=200323  
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7A08

Set	Items	Description
S1	17	AU='MULHEREN' OR AU='MULHERN'
S2	19	AU='MCCABE D' OR AU='MCCABE D J'
S3	0	(S1 OR S2) AND SECURITIES
S4	0	(S1 OR S2) AND SUBSET?

EIC Search  
04/2/03

EKD April 8, 2003

Ex. Daniel Felten  
CPKS-7A08

Set	Items	Description
S1	4092	SECURITIES OR STOCKS OR BONDS OR MUTUAL()FUNDS OR SHARES OR INVESTMENT? OR FINANCIAL()INSTRUMENT? OR EQUITIES OR COMMODITIES
S2	19549	SUBSET? OR SEGMENT? ? OR DIVISION? OR SUBSECTION? OR SUBDIVISION? OR SUBLIST? OR PART OR COMPONENT? OR SUBGROUP? OR FRACTION?
S3	2501	PORTFOLIO? OR HOLDINGS OR INDICES OR INDEX OR INDEXES OR S-(1W)P() (500 OR DEPOSIT?()RECEI?) OR SPDR?
S4	375	(OTHER OR ANOTHER OR DIFFERENT OR 2ND OR SECOND) (5N) ((STOCK OR FINANCIAL OR REGIONAL OR COMMODIT? OR SECURITIES) (1W)EXCHANGE) OR NASDAQ?
S5	124	S4 (5N) (EXCHANGED OR EXCHANGING OR TRADED OR TRADING OR BUYING OR BOUGHT OR PURCHAS? OR SELLING OR SALE? ? OR SOLD)
S6	17	S2 AND S5
S7	17	S6 NOT PD>20000427
S8	17	S7 NOT PY>2000
S9	73	(S2 AND S4) NOT PY>2000
S10	13	S1 AND S9
S11	10	S1 AND (S2(5N)S3) NOT PY>2000

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File 350:Derwent WPIX 1963-2003/UD,UM &UP=200323

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Set	Items	Description
S1	67355	SECURITIES OR STOCKS OR BONDS OR MUTUAL()FUNDS OR SHARES OR INVESTMENT? OR FINANCIAL()INSTRUMENT? OR EQUITIES
S2	161539	PORTFOLIO? OR HOLDINGS OR INDICES OR INDEX OR INDEXES
S3	510188	EXCHANG? OR TRADE? ? OR TRADING OR BUYING OR BOUGHT OR PURCHAS? OR SELLING OR SALE? ? OR SOLD
S4	1404	(OTHER OR ANOTHER OR DIFFERENT) (2W)EXCHANGE OR NASDAQ
S5	5016927	SUBSET? OR SEGMENT? ? OR DIVISION? OR SUBSECTION? OR SUBDIVISION? OR SUBLIST? OR PART OR COMPONENT? OR SUBGROUP? OR FRACTION?
S6	3	S1 AND S4 AND S5
S7	1410	S4 OR S(1W)P()500 OR SPDR? ?
S8	2	S1 AND S2 AND S3 AND S7
S9	8	(S1 AND S3 AND S7) NOT (S6 OR S8)

Set	Items	Description
S1	1820	SELECT (2N) SECTOR (2N) SPDRS
S2	1813	SELECT (W) SECTOR (W) SPDRS
S3	575	S2 NOT (PY=>2000 OR PD=>2000) → <i>all considered</i>
?		

*Dialog Search*  
*01.09.03*